# Deep Learning for Cost-Optimal Planning: Task-Dependent Planner Selection

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#### **Abstract**

As classical planning is known to be computationally hard, no single planner is expected to work well across many planning domains. One solution to this problem is to use online portfolio planners that select a planner for a given task. These portfolios perform a classification task, a well-known and well-researched task in the field of machine learning. The classification is usually performed using a representation of planning tasks with a collection of hand-crafted statistical features. Recent techniques in machine learning that are based on automatic extraction of features have not been employed yet due to the lack of suitable representations of planning tasks.

In this work, we alleviate this barrier. We suggest representing planning tasks by images, allowing to exploit arguably one of the most commonly used and best developed techniques in deep learning. We explore some of the questions that inevitably rise when applying such a technique, and present various ways of building practically useful online portfolio-based planners. An evidence of the usefulness of our proposed technique is a planner that won the cost-optimal track of the International Planning Competition 2018.

#### Introduction

Domain-independent planning is known to be challenging not only due to its computational complexity (Bylander 1994), but also due to the various and very different types of domains that planners are expected to solve. Consequently, research in planning has not only focused on individual planning techniques such as the predominant planning-asheuristic-search paradigm, but also on the question of how to combine and exploit different planning techniques for solving a variety of planning tasks.

One such approach is to create *portfolios* of planners to leverage their combined strengths (Seipp et al. 2012; Vallati 2012; Cenamor, de la Rosa, and Fernández 2013; Seipp et al. 2015). Besides parallel portfolios that are well-suited to exploit multiple CPUs, many portfolios are *sequential*, which means that they execute one or more planners sequentially on a given task. The decision such a portfolio has to make is which planner to run next and for how long. While some portfolios settle on a schedule *offline* (Helmert et al. 2011; Núñez, Borrajo, and Linares López 2014; Seipp,

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Sievers, and Hutter 2014a; 2014b; 2014c), i.e., ahead of execution, others try to select good planners *online* based on the given task (Cenamor, de la Rosa, and Fernández 2016; 2014; 2018). The latter is based on machine learning techniques, training a classifier on planning instances, represented as a vector of hand-crafted features. The need for extracting such features manually was alleviated in machine learning community with the popularization of deep learning techniques.

While there exists some work employing deep learning techniques for learning policies in probabilistic planning (Toyer et al. 2018; Issakkimuthu, Fern, and Tadepalli 2018), to the best of our knowledge, there is no published work on applying deep learning to classical planning task classification. The direction, however, is most promising, as evidenced by a sequential online planning portfolio called *Delfi* for *DEap Learning of PortFollos* (Katz et al. 2018). Delfi1, the winning planning system of the cost-optimal track of the International Planning Competition (IPC) 2018, represents planning tasks as images and employs image convolution for binary classification. Delfi raises several interesting questions regarding both the data representation and the model selection and makes some practical decisions on how to resolve these issues.

In this work, focusing on deep learning for planning task classification for cost-optimal planning, we elaborate on the approach and revisit the decisions made by Delfi. We start by introducing a way to represent planning tasks as images. We then explore the space of possible models that exploit image convolution for planning task classification. Specifically, we explore three questions.

The first one deals with the various levels of abstraction for planner performance data. We suggest four conceptual methods: perform regression using either the raw or normalized total runtime to solution, or perform classification using either a discretized set of (runtime) values or a binary value encoding whether the task was solved by that planner.

The second question concerns the number of planners in a portfolio, given the limited amount of existing data. Starting with the collection of planners used by Delfi, we add to the collection the planners from the latest IPC 2018. As a third option, we select a minimal size subset of planners that achieve the same coverage on the training data.

The third question is how to generalize to previously unseen domains, especially with training data that is not independent identically distributed. One conjecture is that for good generalization to unseen domains, the training data should also be split into training and validation sets in a similar way. We experimentally compare a random split to a random split that keeps all instances of the same domain in the same set, and we also consider not splitting the data for the final model training.

As this is the first work on deep learning for planning task classification, we focus on a rather broad exploration of possibilities for research in this area, thus providing both the planning and machine learning community the means for future explorations of this topic. To this end, we also conclude the paper with an extensive discussion of lessons learned and possible future research.

#### **Background**

In this section, we discuss the two planning formalisms that we base our graphical representation on: the lifted representation based on the *Planning Domain Definition Language* (*PDDL*) (McDermott 2000) and the ground finite-domain representation SAS<sup>+</sup> (Bäckström and Nebel 1995) extended with support for action cost, conditional effects, and axioms.

PDDL tasks are defined over a first-order language  $\mathcal{L}$  that consists of predicates, functions, a set of natural numbers, variables, and constants. Predicates are either *fluent* or *derived*. Atoms and literals are defined over predicates and variables/constants as in first-order logic. They are called fluent if they only contain fluent predicates, and likewise, they are called derived if they only contain derived predicates. Function assignments assign a natural number from the set to function terms, which are defined over functions and variables/constants as in first-order logic. Free variables of formulas over  $\mathcal L$  are also defined as in first-order logic. If a formula does not have free variables, it is called *ground*.

Given  $\mathcal{L}$ , a normalized (Helmert 2009) PDDL task is a tuple  $\Pi = \langle \mathcal{O}, \mathcal{A}, I, G \rangle$  with the following components.  $\mathcal{O}$ is a set of schematic operators  $o = \langle cost(o), pre(o), eff(o) \rangle$ , where cost(o) is a function term called the cost, pre(o) is a set of literals over  $\mathcal{L}$  called the *precondition*, and *eff*(o) is called the effect. Such an operator effect is a set of universally quantified effects  $e = \forall v_1, \dots, v_k : cond(e) \triangleright eff(e)$ with  $v_i \in \mathcal{L}$ , cond(e) a set of literals over  $\mathcal{L}$  called the (effect) condition, and eff(e) a literal over  $\mathcal{L}$  without derived predicates called the effect. A is a set of schematic axioms  $a = head(a) \leftarrow body(a)$ , where head(a) is an atom with a derived predicate called the *head* and body(a) is a set of literals over  $\mathcal{L}$  called the *body*. Free variables in *body*(a) must be free in head(a), and A must be stratifiable (Thiébaux, Hoffmann, and Nebel 2005). Finally, I is a set of ground fluent literals over  $\mathcal{L}$  and consistent ground function assignments, called the *initial state specification*, and G is a set of ground literals over  $\mathcal{L}$ , called the *goal specification*.

To define the semantics of a PDDL task, we need the notion of *grounding* a task. Grounding is the process that instantiates all free variables of schematic operators and axioms in all possible ways by replacing them with constants. That means that each operator and axiom is replaced by a set of induced ground operators and axioms. Given

a PDDL task II as above, the induced ground task is defined as  $\Pi = \langle \mathcal{O}, \mathcal{A}, s_0, s_{\star} \rangle$ , where  $\mathcal{O}$  and  $\mathcal{A}$  are obtained through grounding as just described. A state s assigns TRUE or FALSE to all ground fluent atoms. The corresponding derived state [s] extends the assignment of s to all ground atoms, evaluating ground axioms as in stratified logic programming. The initial state  $s_0$  assigns TRUE to all ground atoms in I and FALSE to all others ground fluent atoms. The goal  $s_{\star}$  assigns TRUE to all positive ground literals in G and FALSE to all negative ground literals in G. A ground operator o is applicable in s if  $[s] \models pre(o)$ . Ground atom A is true in the successor state if it has been true in s and o has no effect  $\varphi \triangleright \neg A$  such that  $\llbracket s \rrbracket \models \varphi$  or if o has an effect  $\varphi \triangleright A$  with  $\llbracket s \rrbracket \models \varphi$ . A plan for  $\Pi$  is a sequence of ground operators that can be subsequently applied starting in  $s_0$  and that leads to a state s with  $[s] \models s_{\star}$ . Its costs is the accumulated operator costs, where the cost values are taken from the function assignments in I.

Most modern planners reason on a ground planning task representation rather than a lifted one. Since the induced ground task is usually prohibitively large, these planners typically apply reachability analyses to transform the ground representation into a variable-based representation such as STRIPS or SAS<sup>+</sup>. In the latter, a task  $\Pi$  =  $\langle \mathcal{V}, \mathcal{V}_d, \mathcal{O}, \mathcal{A}, s_d, s_0, s_\star \rangle$  has the following components.  $\mathcal{V}$ is a set of finite-domain variables v with finite-domain dom(v).  $\mathcal{V}_d \subseteq \mathcal{V}$  is a subset of variables called *derived vari*ables. A partial state s is a partial assignment over a subset  $V \subseteq \mathcal{V}$  of the variables, written vars(s), assigning a value from dom(v) to each  $v \in vars(s)$ , written s[v]. If vars(s) = $\mathcal{V}$ , s is called a state. Two partial states s, s' are consistent if s[v] = s'[v] for all  $v \in vars(s) \cap vars(s')$ .  $\mathcal{O}$  is a set of operators  $o = \langle cost(o), pre(o), effs(o) \rangle$  where  $cost(o) \in \mathbb{R}_0^+$ is called the cost, pre(o) is a partial state called the precondition, and effs(o) is a set of effects. Each such effect  $e \in effs(o)$  is a tuple  $e = \langle cond(e), var(e), val(e) \rangle$ , where cond(e) is a partial state called the (effect) condition,  $var(e) \in \mathcal{V} \setminus \mathcal{V}_d$  is called the effect variable and  $val(e) \in dom(var(e))$  the effect value.  $\mathcal{A}$  is a set of axioms  $a = \langle pre(a), var(a), val(a) \rangle$ , where pre(a) is a partial state called the precondition,  $var(a) \in \mathcal{V}_d$  is called the *derived* variable and  $val(a) \in dom(var(a))$  the derived value.  $s_d$ is a partial state defined over  $V_d$ , assigning to each derived variable  $v \in \mathcal{V}_d$  a default value  $s_d[v] \in dom(v)$ .  $s_0$  is the initial state and  $s_{\star}$  a partial state called the goal.

The semantics of a SAS<sup>+</sup> task  $\Pi$  as above is as follows. Operator o is applicable in state s if s and pre(o) are consistent. Effect  $e \in effs(o)$  fires in s if s and cond(e) are consistent. Applying o in s leads to the state s' that is consistent with s except for all effect variables var(e) of effects  $e \in effs(o)$  that fire, for which s'[var(e)] = val(e). Effects e and e' of the operator o are conflicting if there exists a state s reachable from the initial state such that (a) pre(o) is consistent with s, (b) both cond(e) and cond(e') are consistent with s, and (c) var(e) = var(e') and  $val(e) \neq val(e')$ . We assume the absence of conflicting effects. Extending the classical SAS<sup>+</sup> definition to axioms, for a state s, the corresponding derived state [s] is obtained from s by applying all axioms as in stratified logic programming. As a result,

each derived variable  $v \in \mathcal{V}_d$  is assigned a value, starting with the default values  $s_d$ . As for PDDL tasks, plans are sequences of operators that lead from  $s_0$  to  $s_\star$  and their cost is the summed operator costs.

### **Representing Planning Tasks**

The first step towards applying existing deep learning tools to learn any model of planner performance of a domain or a task is to be able to represent the planning task in a way consumable by such tools. To come up with one such representation, in this work we follow the direction explored in the SAT and CSP communities (Loreggia et al. 2016) and represent tasks as images. In the aforementioned work the textual description of an instance was turned into a grayscale image by converting each character to a pixel. Here, we instead want to make use of existing graph representations of planning tasks, which we deem more natural than the textual description. Such representations losslessly encode the information in the planning task and are often used for symmetry detection. In particular, we base the images on graphs used for the computation of structural symmetries (Shleyfman et al. 2015) for both ground and lifted representations (Pochter, Zohar, and Rosenschein 2011; Sievers et al. 2017). We remark that these graphs originally are defined as colored graphs for the purpose of excluding symmetries between parts of the tasks that are colored differently. Since these colors do not add to the graphs as a structural representation of the task, we omit them here.

### **Problem Description Graph**

Originally introduced by Pochter, Zohar, and Rosenschein (2011), the *problem description graph* (*PDG*) is a graph that represents a SAS<sup>+</sup> task for the purpose of detecting symmetries of the task. Shleyfman et al. (2015) later showed (in the STRIPS setting) that automorphisms of the PDG are structural symmetries of the task. Below, we extend the original definition to support conditional effects and axioms.

**Definition 1.** Let  $\Pi = \langle \mathcal{V}, \mathcal{V}_d, \mathcal{O}, \mathcal{A}, s_d, s_0, s_{\star} \rangle$  be a SAS<sup>+</sup> task. The problem description graph of  $\Pi$  is the digraph  $\langle N, E \rangle$  with nodes

$$N = \{n_0, n_{\star}\} \cup \{n_v \mid v \in \mathcal{V}\} \cup N_f \cup N_{\mathcal{O}} \cup \{n_a \mid a \in \mathcal{A}\},\$$

where  $N_f = \{n_v^d \mid v \in \mathcal{V}, d \in dom(v)\}$  and  $N_{\mathcal{O}} = \{n_o \mid o \in \mathcal{O}\} \cup \{n_o^e \mid o \in \mathcal{O}, e \in effs(o)\}$ , and edges

$$E = E_0 \cup E_{\star} \cup E_v \cup E_a \cup E_o$$
, where

$$\begin{split} E_0 &= \{ \langle n_0, n_v^d \rangle \mid s_0[v] = d \} \\ E_\star &= \{ \langle n_g, n_v^d \rangle \mid v \in \mathit{vars}(s_\star), s_\star[v] = d \} \\ E_v &= \{ \langle n_v, n_v^d \rangle \mid d \in \mathit{dom}(v) \} \\ E_a &= \{ \langle n_a, n_v^d \rangle \mid a \in \mathcal{A}, v \in \mathit{vars}(\mathit{pre}(a)), \mathit{pre}(a)[v] = d \} \\ &\cup \{ \langle n_a, n_v^d \rangle \mid a \in \mathcal{A}, \mathit{var}(a) = v, \mathit{val}(a) = d \} \\ E_o &= \{ \langle n_o, n_v^d \rangle \mid o \in \mathcal{O}, v \in \mathit{vars}(\mathit{pre}(o)), \mathit{pre}(o)[v] = d \} \\ &\cup \{ \langle n_o, n_o^e \rangle \mid e \in \mathit{effs}(o) \} \\ &\cup \{ \langle n_v^d, n_o^e \rangle \mid \langle c, \cdot, \cdot \rangle \in \mathit{effs}(o), v \in \mathit{vars}(c), c[v] = d \} \\ &\cup \{ \langle n_o^e, n_v^d \rangle \mid \langle \cdot, v, d \rangle \in \mathit{effs}(o) \}. \end{split}$$



(a) Image from the ASG of the (b) Image from the PDG of the PDDL representation.  $SAS^+ \ representation.$ 

Figure 1: Lifted (left) and grounded (right) representations of task pfile01-001.pddl of BARMAN-OPT11.

### **Abstract Structure Graph**

Using a ground SAS<sup>+</sup> task as a basis for the PDG means to obtain a representation that to some extent depends on the used grounding and invariant synthesis algorithms. As an alternative, we also consider the *abstract structure graph* (ASG) (Sievers et al. 2017) that was defined for the computation of structural symmetries of PDDL tasks and used by Sievers et al. to model planning tasks as abstract structures.

**Definition 2** (Sievers et al., 2017). Let S be a set of symbols, where each  $s \in S$  is associated with a type t(s). The set of abstract structures over S is inductively defined as follows:

- each symbol  $s \in S$  is an abstract structure, and
- for abstract structures  $A_1, \ldots, A_n$ , the set  $\{A_1, \ldots, A_n\}$  and the tuple  $\langle A_1, \ldots, A_n \rangle$  are abstract structures.

Using the language  $\mathcal{L}$  of a PDDL task  $\Pi$ , each part of  $\Pi$  can inductively be defined as an abstract structure, with the symbols of  $\mathcal{L}$  forming the basic abstract structures. Finally, abstract structures can be naturally turned into a graph.

**Definition 3** (Sievers et al., 2017, fixed). Let A be an abstract structure over S. The abstract structure graph  $ASG_A$  is a digraph  $\langle N, E \rangle$ , defined as follows.

- N contains a node A for the abstract structure A. If N contains a node for  $A' = \{A_1, \ldots, A_n\}$  or  $A' = \langle A_1, \ldots, A_n \rangle$ , it also contains the nodes for  $A_1, \ldots, A_n$ .
- For every set (sub-)structure  $A' = \{A_1, \ldots, A_n\}$  there are edges  $A' \to A_i$  for  $i \in \{1, \ldots, n\}$ .
- For every tuple (sub-)structure  $A' = \langle A_1, \dots, A_n \rangle$ , the graph contains auxiliary nodes  $n_1^{A'}, \dots, n_n^{A'}$ , edge  $A' \rightarrow n_1^{A'}$  and for  $1 < i \le n$  edges  $n_{i-1}^{A'} \rightarrow n_i^{A'}$ . For each component  $A_i$ , there is an edge  $n_i^{A'} \rightarrow A_i$ .

### **Converting Graphs to Images**

As the final step before being able to use off-the-shelf image convolution tools for learning, we need to compute images from graphs. Inspired by the character to pixel conversion of Loreggia et al. (2016), we also chose to represent each task by a grayscale image of a constant size of 128\*128 pixels. For the conversion, we start with the adjacency matrix of the graph  $\langle N, E \rangle$ , which is essentially a black&white image of size |N|\*|N|. Then, we perform what we call *bolding*, an ad

hoc technique that colors black the left, right, up, and down neighbors of a black pixel, which helps preserving the graph structure depicted in the image when shrinking it to a fixed size. Next, we turn the image into grayscale by partitioning the image to 3\*3 squares and turning each square into one grayscale pixel. Finally, the size of the obtained grayscale image is resized to the constant size using antialiasing. Figure 1 illustrates the different images we obtain for a task of the BARMAN domain.

We remark that our approach of turning the adjacency matrix into an image orders the graph nodes according to their type. While this is a fixed order, which we deem useful to obtain similar images for similarly structured graphs, the choice of that order may impact the resulting representation and other orders may result in images that "better" represent the planning task. Furthermore, there might also be other ways of overcoming the limitations of shrinking images to a fixed size, and more generally, constructing images from graphs. We leave exploring such alternatives as future work.

#### The Data

In this work we create an online portfolio for a predefined collection of planners. At runtime, we choose a planner out of that collection for a given task. This is essentially a classification task for which we use the planning task representation described in the previous section and image convolution, one of the best performing techniques for image classification. In the following, we describe the collections of planners as well as the benchmark set that we use to create data, for both training the model and testing its performance. We further discuss how to represent the performance of costoptimal planners on the benchmarks, i.e, the total time until an optimal solution was found (or not solved). Finally, we discuss different approaches to data separation.

### **Component Planners**

Decades of research on classical planning provide a huge pool of available planners to any portfolio method. However, integrating many potentially very different planners is a technical challenge and furthermore, it is usually not easy to identify the contribution of each component to the performance of the portfolio. Therefore, for our portfolio, we picked the 17 planners from the Delfi portfolio, which Katz et al. (2018) cherry-picked from cost-optimal planners openly available prior to IPC 2018 and which they describe in detail. To evaluate our approach on a larger set of (potentially better) planners, we also include the other planners that participated in the latest IPC 2018 (with the exception of two planners that could not be built out of the box). These additional 12 planners are Complementary1 (Franco et al. 2018), Complementary2 (Franco, Lelis, and Barley 2018), DecStar (Gnad, Shleyfman, and Hoffmann 2018), FDMS1 and FDMS2 (Sievers 2018), Metis 2018 1 and Metis 2018 2 (Sievers and Katz 2018), Planning-PDBs (Moraru et al. 2018), Scorpion (Seipp 2018), SYMPLE 1 and SYMPLE 2 (Speck, Geißer, and Mattmüller 2018), and the IPC 2018 baseline, symbolic-bidirectional (Torralba et al. 2017).

Out of these planners, we consider three collections, to also test the influence of their size:  $C_D$ , the collection of 17

planners from Delfi,  $C_A$ , the collection of all 29 available planners, and  $C_C$ , a minimal subset of  $C_A$  which preserves coverage of the training set. We compute  $C_C$  by optimally solving the corresponding set cover problem, which results in a collection of 9 planners, 3 from Delfi (SymBA\* 2014, OSS HC-PDB, DKS B-MIASMdfp) and 6 from the IPC 2018 planners (Complementary1, Complementary2, Metis2, Planning-PDBs, Scorpion, symbolic-bidirectional).

#### **Planning Tasks**

Our collection of tasks includes all benchmarks of the classical tracks of all IPCs as well as some domains from the learning tracks. When domains were used in several IPCs, we only used those of the latest IPC. We further include the domains BRIEFCASEWORLD, FERRY, and HANOI from the IPP benchmark collection (Köhler 1999), and the genome edit distance (GEDP) domain (Haslum 2011). We also use domains generated by the conformant-to-classical planning compilation (T0) (Palacios and Geffner 2009) and the finitestate controller synthesis compilation (FSC) (Bonet, Palacios, and Geffner 2009). In addition to existing tasks of these domains, we generated additional ones for some domains where generators were available. To filter out too hard tasks, we removed all tasks from the benchmark set that were not solved by any of our planners. For full details of the used benchmarks, please consult the Delfi planner abstract (Katz et al. 2018). To obtain performance data of all planners, we ran them on all planning tasks with a time bound of 1800 seconds and a memory bound of 7744 MiB (the latter being the limit allowing to fully use all cores of our compute grid).

## **Planner Performance Representation**

In the optimal track of the IPC, the only criterion that counts is coverage. Therefore, we need to be able to predict whether a planner from the portfolio will be able to solve the task optimally within the given time and memory bounds. The information we have, however, includes the runtime it takes a planner to solve a planning task in our training set. There are several possible ways of modeling (the final layer of) the neural network for making such a prediction.

Our first two prediction methods use regression to predict the runtime of a planner to solve a task optimally and then pick the planner with minimum runtime. The first method, called time, uses the raw runtime for tasks where a plan was found within the time bound of 1800 seconds and 3600 to encode that the task was not solved. The second method, called normalized, uses the same logic but normalizes runtimes to [0,1] and the additional value 2. In both cases, the final layer contains a single neuron for each planner, each with a linear activation function. Its output is a real value predicting the (raw/normalized) runtime of the planner.

To escape the need for continuous variables, and to somewhat abstract the runtime information, our third method models the runtimes as a small set of discrete values and performs a multi-class classification for each planner. We choose to partition the 1800 seconds into three 600 second intervals and use an additional value for tasks not solved. For this method, called *discrete*, the final layer contains four neurons for each planner. Each neuron stands for one of the

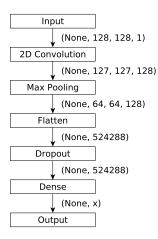


Figure 2: Graphical visualization of the CCN models. The final layer contains x neurons, which depends on the used planner collection C: for the methods time, normalized, and binary, x = |C|, and for the method discrete, x = 4|C|.

four classes and uses a sigmoid activation function. Its output is a real value in [0,1] which represents the confidence that the associated discrete value is the right time interval prediction for the corresponding planner. For each planner, we compute the average over the discrete values weighted with their predicted confidence levels and choose a planner that minimizes this weighted average.

Finally, the fourth method fully abstracts away the information about the actual runtime, encoding only whether the task was solved by a planner. This corresponds to a binary classification for each planner. For this method, called *binary*, the final layer contains a single neuron for each planner, each with a sigmoid activation function. Their output is is a real value in [0,1] that represents the confidence that the planner solves the task within the time bound. We choose a planner that maximizes this value.

The rationale behind exploring different levels of abstraction of the performance data is twofold. First, it might be the case that the amount of data is not sufficient to learn a meaningful model on the least abstract level. In such cases, purportedly, the more we abstract the time domain, the less data should be needed for learning. Second, it is sensible to assume that there is not much difference in terms of performance between planners that report solving a given task in under, say, 10 seconds. The actual measure might not be exact, and may vary, depending on multiple factors beyond our control. Assuming that the same logic carries over to the predicted results, choosing either of these planners should not make a big difference.

# **Data Separation**

When learning a portfolio, the first decision one needs to make is how to separate the data into training and test sets. Since in domain-independent planning the domains are often unknown a priori, we decided to use the domains from IPC 2018 as the test set. The rest of the tasks are referred as

	domair	-preservi	ng split	random split				
	$C_D$	$\mathcal{C}_A$	$\mathcal{C}_C$	$C_D$	$\mathcal{C}_A$	$\mathcal{C}_C$		
decay	0.089	0.089	0.055	4.3e-5	3.2e-5	1.5e-4		
momentum	0.73	0.73	0.25	0.95	0.94	0.95		
nesterov	1	1	1	1	1	1		
learning rate	0.085	0.085	0.07	0.0058	0.0065	0.005		
batch size	85	85	89	101	53	56		
convolution filter size	3	3	5	6	2	2		
dropout rate	0.48	0.48	0.39	0.49	0.49	0.5		
pool filter size	3	3	2	1	1	4		

Table 1: Hyper-parameters for the *binary* method.

the training set. This separation thus recreates the scenario of the actual IPC 2018, where competitors could use all previously available benchmarks for preparing their planners, and planners were then evaluated on the new benchmarks.

It is common in many learning tasks to further separate the training set, taking out a validation set. The rationale behind this is that the validation set serves as a good representation of the test set, and thus all decisions taken based on the validation set will hold for the test set. This, however, works under the assumption of independent identically distributed (i.i.d.) data, which breaks for planning tasks that come from various domains, and even the tasks within each domain are typically not i.i.d. Further, the domains of the IPC 2018 (our test set) were all new (in contrast to some previous IPCs that reused domains) and, to some extent, structurally very different from old domains (in our training set). For instance, many of the tasks introduced in the IPC 2018 have actions with a much larger number of conditional effects than previous tasks, which also directly impacts the PDGs/ASGs and with that, the image representations of these tasks.

Given that we want to generalize to unseen domains that are potentially different from our training data, we investigate the question whether a random separation of the training data into training and validation set should keep tasks of the same domain together in one of the sets. We call the two variants *random split* and *domain-preserving split*. One conjecture is that domain-preserving splits should better reflect the scenario of testing on unknown, structurally different domains. A further interesting question to be asked is whether the validation set, and thus, any form of validation, is even needed at all, since in our case it likely does not represent the test set very accurately. We evaluate all of these options experimentally.

The data set described in this section is available online.<sup>1</sup>

#### Coming up with a Model

In this section, we describe how to build a model for combinations of prediction methods, planner sets, and data separation. The training is performed on NVIDIA(R) Tesla(R) K80 GPUs. The evaluation on the test set boils down to classifying tasks from the test set and looking up the performance of the selected planner on that task. To save space, in what follows we present only the results of representing planning tasks by ASGs, i.e., using the lifted PDDL representation, which also performed better in the IPC 2018. Our experi-

https://github.com/IBM/IPC-image-data

	time					normalized					discrete							
	domain-preserving split			ra	ndom sp	lit	domain-preserving split random split domain-preserving split			ng split	random split							
	$C_D$	$\mathcal{C}_A$	$\mathcal{C}_C$	$\mathcal{C}_D$	$\mathcal{C}_A$	$\mathcal{C}_C$	$C_D$	$\mathcal{C}_A$	$\mathcal{C}_C$	$C_D$	$\mathcal{C}_A$	$\mathcal{C}_C$	$C_D$	$\mathcal{C}_A$	$\mathcal{C}_C$	$C_D$	$C_A$	$\mathcal{C}_C$
$\beta_1$	0.975	0.99	0.98	0.96	0.96	0.89	0.89	0.6	0.67	0.99	0.77	0.91	0.99	0.93	0.99	0.99	0.98	0.98
$\beta_2$	0.99	0.99	0.99	0.99	0.99	0.999	0.999	0.99	0.995	0.99	0.99	0.99	0.99	0.998	0.9995	0.99	0.99	0.9998
$\epsilon$	9.7e-9	9.9e-9	9.9e-9	4.6e-9	4.6e-9	5.1e-9	7.8e-9	5.85e-9	9.8e-9	9.99e-9	7.7e-9	9.9e-9	9.98e-9	4.5e-10	9.85e-9	9.85e-9	9.9e-9	2.5e-10
learning rate	7e-4	5e-4	6e-4	0.0049	0.0049	0.0041	0.0043	0.0049	0.0036	0.0015	5e-4	5e-4	5e-4	8e-4	5e-4	6e-4	5e-4	5e-4
batch size	124	113	53	70	70	84	85	95	89	63	58	65	94	119	114	123	77	101
convolution filter size	2	2	2	3	3	3	3	6	5	3	5	2	6	5	2	2	6	6
dropout rate	0.49	0.16	0.49	0.34	0.34	0.47	0.48	0.49	0.38	0.16	0.16	0.48	0.10	0.43	0.11	0.50	0.49	0.50
pool filter size	1	2	1	2	2	4	3	1	2	2	5	2	1	4	1	1	4	4

Table 2: Hyper-parameters for the *time*, *normalized*, and *discrete* methods.

ments with the ground representation using PDGs showed similar behavioral trends.

#### **Model Architecture**

For our models, we employ a simple convolutional neural network (CNN) (LeCun, Bengio, and Hinton 2015) consisting of one convolutional layer, one pooling layer, one dropout layer, and one hidden layer. The main reason to choose a network with few parameters is to reduce the chances of overfitting given the limited amount of available data. Figure 2 shows the structure of the CNN for all prediction methods. The number of neurons in the last layer equals the number of planners in the chosen collection, multiplied by 4 when using the prediction method *discrete*.

For the prediction methods *time* and *normalized*, the CNNs are trained by optimizing for mean squared error. For the other two methods, *discrete* and *binary*, the CNNs are trained by optimizing for binary cross-entropy (Rubinstein 1997). For *binary*, the optimizer used is Stochastic Gradient Decent, while for the other three methods, we use the *Adam* optimizer (Kingma and Ba 2015). Our tool of choice for training is Keras (Chollet 2015) with Tensorflow as a back end.

#### **Model Selection Strategies**

Although our CNNs are rather simple, they still feature a range of model hyper-parameters, which are fine-tuned employing the approach by Diaz et al. (2017). Each step of the algorithm uses a 5-fold cross-validation, separating the training data into 5 subsets consisting of 20% of the data each. We optimize hyper-parameters once for each of the four prediction methods, two data separation techniques, and three planner collections, which results in 24 different parameters settings. In order to facilitate reproducibility of our results, and to allow our methods to be transferred to other settings, Tables 1 and 2 summarize the parameters obtained for these 24 settings.

### **Experimental Evaluation**

With the hyper-parameters for the 24 settings fixed, we train the models either on a subset of the training set according to the split strategy of the setting, or on the entire training data (no validation). In the former case, we train 10 models by separating the training data into 10 folds. Each fold serves once for selecting the best model trained on the remaining folds. By that, we hope to alleviate the problem of possibly

		doma	in-pre	eserving s	split	random split				
		validation no validation			valida	tion	no validation			
		mean	std	mean	std	mean	std	mean	std	
	$\mathcal{C}_D$	50.0	4.4	57.3	1.6	57.5	1.5	57.5	0.0	
time	$\mathcal{C}_A$	48.7	4.4	49.9	2.7	50.8	3.4	48.8	0.9	
	$\mathcal{C}_C$	52.6	3.9	50.5	2.2	50.7	3.9	50.3	2.3	
	$C_D$	50.9	4.4	53.8	2.0	55.4	3.1	54.9	3.1	
normalized	$\mathcal{C}_A$	51.8	3.7	50.5	2.6	48.8	1.2	49.3	1.8	
	$\mathcal{C}_C$	49.5	5.6	50.2	2.1	50.0	1.3	50.3	1.8	
	$C_D$	49.5	4.0	53.7	5.9	53.9	3.3	54.1	3.0	
discrete	$\mathcal{C}_A$	55.4	3.4	52.7	2.2	53.9	3.8	53.7	5.1	
	$\mathcal{C}_C$	50.5	1.6	51.6	3.1	58.3	5.2	53.3	1.4	
	$\mathcal{C}_D$	49.6	4.0	50.2	1.4	52.0	3.3	50.3	1.1	
binary	$\mathcal{C}_A$	50.4	4.7	48.9	1.8	49.9	2.2	49.6	1.5	
	$\mathcal{C}_C$	53.4	3.0	49.2	2.2	52.3	2.7	51.7	3.6	

Table 3: Coverage of all 48 planners as the percentage of all 240 tasks in the test set, showing mean and standard deviation over the 10 models of each planner.

being (un)lucky with partitioning the training data. In the latter case, we train 10 times on the same data, taking each time the best model on that data. Thus, in both cases, we end up with 10 models for each of the 48 settings. We treat these as 48 randomized planners, run 10 times each.

Before reporting results, we briefly discuss the setting used to train Delfi. Regarding prediction, Delfi used the *binary* method. Regarding data separation, Delfi used a domain-preserving split (for hyper-parameter optimization) and no validation. The domain-preserving split is handpicked, using all domains from IPCs up to 2011 as the training set and those from IPC 2014 as validation set. We did not reproduce the same setting in our experiments because we considered using a hand-crafted data split to not adhere to good machine learning practice. For completeness, we still report and compare against the performance of Delfi1.

Table 3 shows coverage of all 48 planners as the percentage of the 240 tasks of the test set (IPC 2018 domains), showing mean and standard deviation over the 10 models of each planner. In the following, we begin with comparing the different variants of our techniques against each other. To do so, we compare pair-wise each variant of the same technique, keeping everything else the same. Table 4 show matrices where each entry in row x and column y shows the number of settings where method x achieves a higher average coverage than method y.

First, we compare the four performance representation methods (cf. Table 4a). The *discrete* method compares favorably against all other methods in most of the settings, and

	t	n	d	b
time	-	7	5	7
normalized	4	-	4	7
discrete	7	8	-	10
binary	5	5	2	-

	$\mathcal{C}_D$	$\mathcal{C}_A$	$\mathcal{C}_C$
$\mathcal{C}_D$	-	12	10
$\mathcal{C}_A$	3	-	6
$\mathcal{C}_C$	6	10	-

(a) Prediction methods.

(b) Planner collections.

	domain-pr	eserving split	random split			
	validation	no validation	validation	no validation		
dom-pres. split & val.	-	5	5	5		
dom-pres. split & no val.	7	-	2	3		
random split & val.	7	10	-	8		
random split & no val.	7	9	3	-		

(c) Data split strategies with/without validation.

Table 4: Pair-wise comparison of different methods. An entry in row x and column y denotes the number of settings (out of 12/16/12 settings for parts (a)/(b)/(c)) in which method x is better than method y. An entry is bold if x is better than y more often than vice versa.

conversely, *binary* is worse than all other methods in most of the settings. However, there is no strict dominance between the methods, and the number of settings where one is better than the other is often close. Still, we think that the *discrete* method might be a good way of abstracting some information on runtime of planners, while still taking it into account (in contrast to *binary*).

Second, we evaluate using different planner collections (cf. Table 4b). Here, using the planners that Delfi trained on,  $C_D$ , results in clearly better performance compared to using the extended planner collection  $C_A$  and the minimum set of planners to cover the training set,  $C_C$ .  $C_A$  and  $C_C$  perform similarly to each other, with  $C_C$  having a slight advantage. Clearly, our assumption that a richer set of planners, with a higher possible coverage, should result in better performance does not hold on average. It is also worth noting that the best overall performance, with a mean of 58.3\%, is obtained for a setting that uses the reduced collection  $C_C$ , however with the highest standard deviation of 5.2%. We think that  $C_A$  might contain too many planners for the relatively low amount of data in our training set, which resulted in models trained on  $C_D$  and, to a lesser extent, on  $C_C$ , making better predictions more often.

Third, we compare the two data split strategies and the option to use validation or not, given a data split strategy (cf. Table 4c). We observe that using a random split with validation compares favorably in most of the settings, followed by using a random split without validation, leaving random domain-preserving split strategies behind. However, there again is no strict dominance between the different strategies, and our conjecture that domain-preserving splits better reflect the test set does not seem to hold on average.

We now proceed with comparing our models against some baseline planners. Table 5 shows coverage, again as the percentage of the 240 tasks of of the test set (IPC 2018 domains): The first three entries (two columns each) report mean and standard deviation over 1000 randomly picked planners from each collection. The next three entries show oracle coverage of each collection. The last three entries

rnd	rnd. $C_D$ rnd. $C_A$		rnd. $\mathcal{C}_C$			oracle		best				
												Delfi1
42.	8	8.3	45.0	8.8	50.3	9.8	67.9	72.1	70.8	58.3	57.1	60.0

Table 5: Coverage as the percentage of all 240 tasks in the test set: mean and standard deviation over 1000 randomly chosen planners of each collection; oracle coverage of each collection; three individual planners

show coverage of individual planners, namely Complementary2 (C2), the best performer on the test set from  $\mathcal{C}_A$  and  $\mathcal{C}_C$ , Sym (SymBA\*), the best performer on the test set from  $\mathcal{C}_D$ , and Delfi1, the winner of the cost-optimal track of IPC 2018. Note that these planners are *not* the best planners on the entire training set, where DKS-LMcut is the best planner from  $C_D$ , solving 1956 out of 2530 tasks compared to SymBA\* which solves 1856 tasks, and Scorpion is the best planner from  $C_A$  and  $C_C$ , solving 2079 out of 2530 tasks compared to Complementary2 which solves 2030 tasks.

First, comparing against the random baseline for each collection, we observe that our results with  $\mathcal{C}_D$  and  $\mathcal{C}_A$  strictly dominate. However, using  $\mathcal{C}_C$ , there are 6 out of 16 settings where performance is worse than the random baseline. While not shown in the tables, we also verified that our models are consistent w.r.t. planner selection: on average, they selected a single planner in  $[4.95\pm3.1]$  domains, 2 planners in  $[2.21\pm1.52]$  domains, 3 planners in  $[1.42\pm1.19]$  domains, and 4 or more planners in the remaining ~3 domains.

Next, we compare against the upper end of the spectrum, namely the best planner of each collection. Using  $\mathcal{C}_D$ , 3 out of 16 settings are better than SymBA\*, the best planner of  $\mathcal{C}_D$ , and the two best settings of these beat SymBA\* with 10 respectively 8 out of 10 models. Using the other two collections, none of our settings are better than Complementary2 on average (6 out of 10 models of the best setting for  $\mathcal{C}_C$  and 3 out of 10 models of the best setting for  $\mathcal{C}_A$  beat Complementary2). More generally, comparing against the performance of the best (oracle) planners, we see that there is still lots of room for improvement for all of the settings we tested.

Finally, we compare our models against Delfi1, the winner of the optimal track of IPC 2018. Clearly, all of our settings fall behind on average compared to its coverage of 60%. Our best performing setting (58.3%) has 6 out of 10 models with performance superior to Delfi1, and overall, 13 out of the 480 models perform better than Delfi1, with a peak coverage of 63.3%. The setting of our experiments that corresponds to that of Delfi1 (domain-preserving split, no validation, planner collection  $C_D$ ) only achieves a mean coverage of 50.2%. The key difference to Delfi1 seems to be the hand-crafted domain-preserving split used by Delfi1 which separates domains according to IPCs, that presumably resulted in particularly good hyper-parameters. In this work, we decided to do a proper cross-validation instead. Another difference came apparent in some preliminary experiments where we retrained Delfi1 under its original setting: due to randomization in both hyper-parameter optimization and network training, the performance varied a lot.

### **Discussion and Future Work**

In this work, we presented a method to apply deep learning techniques to task-dependent planner selection for costoptimal planning. For that, we introduced a principled way of representing planning tasks which is consumable by existing deep learning techniques. Focusing on one such technique, image convolution, we explored the space of possible ways to derive a model for classifying planning tasks. Aiming at presenting the reader with some of the issues that arise when the basic assumptions do not hold for existing data, we also explored various possibilities of resolving these issues and empirically evaluated the resulting models. Our results show that there is no single recipe that works well for all tested methods. In fact, the same recipe that Delfi1 used (modulo using a different data split strategy) was found to not be the top performer among the tested methods. Furthermore, also the best of our settings did not reach the actual performance of Delfi1 on average.

One likely reason is that machine learning algorithms (both for hyper-parameter optimization and network training) are heavily randomized. For instance, the outcome of network training, at least in our case, depends heavily on the initial random assignment to the network variables. This results in a large variance in the outcome, as verified in preliminary experiments aimed at retraining the model of Delfi1. Thus, further research is needed into ways of performing hyper-parameter optimization and model construction that produce a more stable outcome.

The second likely reason is the hand-crafted domain-preserving split used by Delfi1. The corresponding setting in our experiments, using a random domain-preserving split instead of the hand-crafted one, results in mean coverage significantly worse than that of Delfi1. The problem behind finding meaningful data splits in our scenario is that the most basic assumption of machine learning, which is that the data is distributed i.i.d., does not hold for planning. As a result, the common practices in machine learning may and should be questioned when applied in this setting.

One example is the way a model is selected. The common practice is to choose the model that gives the best performance on a validation set, which is separated from the training set prior to the learning phase. The assumption is that the validation set is a good representative of the test set. This assumption, however, does not hold in our case. To exemplify, one phenomenon that we observed when separating training data into training and validation set is that the best model chosen on the training set sometimes performed better than the one chosen on the validation set. In other words, it can be beneficial to completely ignore the data in the validation set. Consequently, more research is needed for both the question of how to split the training data of planners into a training and validation set, and for when (not) to use a validation set.

In this work, we focused on generalizing to previously unseen domains, a setting used in planning competitions. In real life, however, in many cases, there is a need for solving tasks that are either new instances of existing domains or slight modifications of previously seen tasks. In such cases, some of the issues we experienced might not appear, or become less significant. For instance, although such data sets

are still not i.i.d., the test data would be much more similar to the training data than in our setting. Furthermore, the amount of training data used in this work is relatively small by machine learning standards. Generating more data should allow using larger planning collections as components for the trained models, in turn improving the overall potential of these models.

More generally, we also see potential future work in exploring alternative network architectures, leaving behind the restriction to a simplistic architecture used for image convolution that we used in this work. More sophisticated architectures might be effective in discovering important features of planning tasks out of the task representation.

Concerning task representations, our findings clearly demonstrate that they indeed represent the tasks and that they serve well for task classification. However, our rather ad hoc approach to constructing images from graphs can certainly be improved. Furthermore, the chosen task representation is not the only possible image representation for planning tasks, and image convolution is not the only technique that can be applied to the chosen task representation. Exploring other task representations and other techniques to learn from these representations is a promising research direction.

For example, we could use the graph representation directly, employing graph convolution techniques. Toyer et al. (2018) use what they call "action schema networks" for representing probabilistic planning tasks. Ma et al. (2018) use the graphs of our data set in an initial study to directly train networks on them. Alternatively, it should also be possible to learn a good representation of a planning task, which would require a way to evaluate the "quality" of a given representation. We have only started exploring this direction and hope that our work will inspire other researchers to work on this problem.

Finally, since none of the ingredients of our approach is restricted to classical planning, our techniques can also directly be transferred to planning settings beyond the classical one, given that there exist suitable graph or image representations of non-classical tasks.

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